

# IDENTIFICATION REQUIREMENTS WITHIN THE FRAMEWORK OF THE FINANCIAL MARKETS ANTI-MONEY LAUNDERING ACT (FM-GWG)

Data record update (AUT)

The Financial Markets Anti-Money Laundering Act (FM-GwG) and the supplementary provisions relating to the economic beneficiary in the Economic Beneficiaries Register Law (WiEReG) require payment service providers to verify the identity of their customers and the economic beneficiaries of their customers. This identification must be reviewed on a regular basis and supplemented with up-to-date proof. PAYONE GmbH, Austrian Branch, is obliged to update its records accordingly and guarantees its customers the highest level of care regarding the personal data with which it is entrusted.

This form can be downloaded and filled in electronically.

Use the following link to do so: [six-payment-services.com/aml-update](https://six-payment-services.com/aml-update)

Prior to submission, forms filled in electronically are to be printed out, duly signed and accompanied with copies of the supporting documents required.

## MERCHANT

..... VAT ID ..... Partner ID |.....|

## LEGAL REPRESENTATIVES

### Legal representative 1

Ms  Mr

First name ..... Last name ..... Function .....

Phone ..... E-mail .....

Private information Street/no. ....

Postal code/city ..... Country .....

Date of birth ..... Place of birth ..... Nationality .....

Proof of identity Document no. .... Issued in ..... Country .....

Passport Issued by ..... Issued on ..... Expiry date .....

ID

Austrian driver's licence

### Legal representative 2

Ms  Mr

First name ..... Last name ..... Function .....

Phone ..... E-mail .....

Private information Street/no. ....

Postal code/city ..... Country .....

Date of birth ..... Place of birth ..... Nationality .....

Proof of identity Document no. .... Issued in ..... Country .....

Passport Issued by ..... Issued on ..... Expiry date .....

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## ECONOMIC BENEFICIARY

PAYONE GmbH, Austrian branch, is obliged to verify the identity of the "economic beneficiary/beneficiaries". Within the meaning of the Fm-GwG, the economic beneficiary is the natural person who is the final owner of the merchant's company or who controls this company by means of:

- direct or indirect ownership of a sufficient percentage of the shares or
- via voting rights or shares in the company, including via bearer shares, or
- via another form of control, with the exception of a company listed on a regulated market which is subject to disclosure requirements, thus ensuring an appropriate level of transparency regarding the details of the ownership structure (e.g. a listed company).

Ownership of 25% of the shares plus one share and/or ownership of a share in the capital of more than 25% in the customer's company by a natural person is an indication of direct ownership. Ownership of 25% of the shares plus one share and/or ownership of a share in the capital of more than 25% in the customer's company by a legal entity which is controlled by one or more natural persons, or by multiple legal entities also controlled by the same natural person(s), is an indication of indirect ownership.

The following query helps you to correctly assign possible beneficial owners. Please cross the option which applies to the company:

- 1. The Merchant's company is a sole proprietorship, and the sole proprietor is the only beneficial owner.**  
If this form of beneficial ownership applies to the company, it is sufficient to complete the "Legal representative 1" section with your personal data, sign the form and enclose a legible copy of a valid personal document prior to submission.
- 2. The Merchant's company is not a sole proprietorship. There are one or more beneficial owners directly or indirectly holding/controlling a stake of at least 25% in the company.**  
If this form of beneficial ownership applies to the company, the complete records of all beneficial owners have to be filled in. In the event of more than 2 beneficial owners, you are kindly asked to capture their data on a copy of page 2 of this form.
- 3. There are no economic beneficiaries.**
  - The company is listed.
  - In the event that nobody, directly or indirectly, holds a share of more than 25% in the company or in another manner, the natural person who holds the position of Senior Manager (e.g. CEO, member of the board etc.) must complete the "Economic Beneficiaries" section. Before submitting them, all forms must be signed and the respective proof must also be provided.
- 4. For fiduciaries or trusts.**  
For trusts and trust agreements, it is now necessary for all those involved to be identified:
  - trustor,
  - all fiduciaries,
  - the settlor/trustor(s),
  - the trustee(s),
  - protector (where applicable),
  - the beneficiaries or insofar as the individuals who are the beneficiaries of the trust still need to be confirmed, the group of people in the interest of which the trust is established or operated (group of beneficiaries); if people in this group receive financial contributions from the trust exceeding a value of EUR 2000 in a calendar year, they shall be deemed beneficiaries in the respective calendar year;
  - any other natural person who has final control over the trust in another way.
 Before submitting them, all forms must be signed and the respective proof must also be provided.
- 5. For private foundations, the founders, the beneficiaries, the members of the foundation council and, for foundations and funds, the founders, the members of the foundation council and fund board, the group of beneficiaries and any other natural person who has final control over the private foundation, foundation or fund in another way must complete the "Economic Beneficiaries" section from Point 4.**

**Beneficial owner 1**

- Same as legal representative 1       Same as legal representative 2  
(please indicate full name and details of beneficial ownership)

Ms    Mr      First name ..... Last name .....

Private information      Street/no. ....

Postal code/city ..... Country .....

Date of birth ..... Place of birth ..... Nationality .....

Proof of identity

Passport      Document no. .... Issued in ..... Country .....

ID      Issued by ..... Issued on ..... Expiry date .....

Austrian driver's licence

- Beneficial ownership**    Direct      **The beneficial owner holds a direct capital or voting stake of at least 25% in the Merchant's company.**  
Its capital stake in the company amounts to .....%  
Its voting rights stake in the company amounts to .....%
- Indirect      **The following legal personality or partnership holds a stake of .....% (at least 25%) in the Merchant's company:**  
Company .....  
Reg. office: Street/no. ....  
Postal code/city ..... Country .....
- The beneficial owner's capital stake in the above-mentioned company amounts to .....%  
The beneficial owner's voting rights stake in the above-mentioned company amounts to .....%
- Other (option 3-5)

**Beneficial owner 2**

Same as legal representative 2 (please indicate full name and details of beneficial ownership)

Ms  Mr First name ..... Last name .....

Private information Street/no. ....  
Postal code/city ..... Country .....

Date of birth ..... Place of birth ..... Nationality .....

Proof of identity Document no. .... Issued in ..... Country .....

Passport Issued by ..... Issued on ..... Expiry date .....

ID

Austrian driver's licence

**Beneficial ownership**  Direct **The beneficial owner holds a direct capital or voting stake of at least 25% in the Merchant's company.**  
Its capital stake in the company amounts to .....%  
Its voting rights stake in the company amounts to .....%

Indirect **The following legal personality or partnership holds a stake of .....% (at least 25%) in the Merchant's company:**  
Company .....  
Reg. office: Street/no. ....  
Postal code/city ..... Country .....

The beneficial owner's capital stake in the above-mentioned company amounts to .....%  
The beneficial owner's voting rights stake in the above-mentioned company amounts to .....%

Other (option 3-5)

**DIRECTOR(S) (OTHER THAN PERSON ALREADY MENTIONED IN LEGAL REPRESENTATIVES AND/OR BENEFICIAL OWNERS SECTION)**

**Director**

Ms  Mr First name ..... Last name ..... Function .....

Private information Street/no. ....  
Postal code/city ..... Country .....

Date of birth ..... Place of birth ..... Nationality .....

**ENCLOSURES**

- Legible copies of all mentioned proofs of identity
  - Extract from the register of economic beneficiaries
  - Excerpts from the commercial register for the Merchant and any other legal person mentioned
- Only original excerpts, copies thereof or excerpts from the official database maintained by the commercial register authorities (internet excerpts) that account for the current situation are valid.

With its signature the Merchant confirms to have stated the above-mentioned information accurately and completely. Furthermore, the Merchant agrees to immediately notify PAYONE of any changes regarding the beneficial ownership and to provide additional information upon request.

Date and place	Legal representative 1*	Legal representative 2**
.....	.....	.....
	* First and last name in block letters	** First and last name in block letters